



UBC Trading Group

Co-Founders:
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Mission Statement

To educate, supplement and facilitate the learning process of trading securities in capital markets.

The UBC Finance Club

- UBC Finance Club is one of the most established and reputable clubs in the CUS.
- Strong focus on career aspect of Finance.
- Ex. Wine and Cheese, CFA info sessions, networking, speaker sessions, conferences.
- The opportunity to meet industry professionals and network is an attractive value proposition.

The Niche

- UBC Finance Club does not offer much support for retail investing or trading.
- There is interest in joining a community of personal traders to obtain hands-on experience.
- Lack of support from the Sauder School of Business for trading and personal investing.
- Art form.

Finance

Sell-Side
(Finance club)

Investment
Banking,
Institutionalized
Accounts

Careers,
Networking,
Conferences

Buy-Side
(Trading Group)

Prop trading,
Self-Investing

Mentorships,
Hands-on
experience

FCX Freeport-McMoRan Copper & Gold Inc.

ETB Net Pos: 0

FCX 1yD D: 06/05/11 O: 51.42 H: 51.77 L: 49.84 C: 50.17 R: 1.93 Y: Volume 18,832,960



Buy MKT Sell MKT Cancel... Reverse Flatten

Qty: +500 100 300 500 1k 2k auto send

B/S	Pos Flat	Avg Price	P/L Open	P/L D	
0 by 0	0	N/A	0	0	
Volume	Buy Orders	Bid Size	Price	Ask Size	Sell Orders
			50.26		
			50.25		
			50.24		
			50.23		
			50.22		
			50.21		
			50.20		
			50.19		
			50.18		
529,743			50.17		
			50.16	4	
299,186			50.15	9	
			50.14		
		16	50.13		
			50.12		
			50.11		
		3	50.10		
			50.09		

STP Suntech Power Holdings Co Ltd

ETB Net Pos: 0

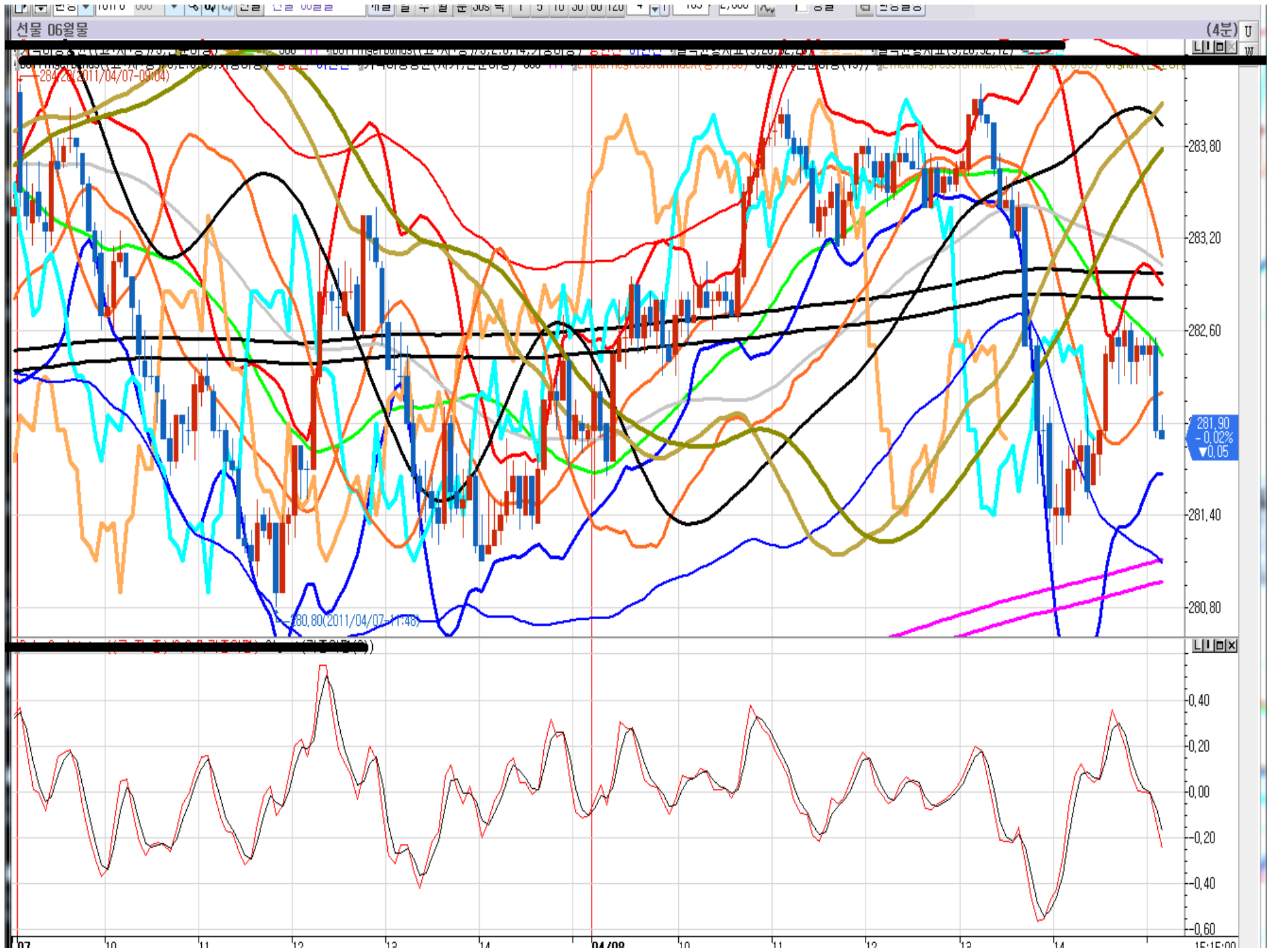
STP 1yD D: 06/05/11 O: 8.47 H: 8.7 L: 8.34 C: 8.64 R: 0.36 Y: Volume 3,857,698 Ichimoku (9, 26) 8.82 9.07 9.16 9.4 N/A



Buy MKT Sell MKT Cancel... Reverse Flatten

Qty: +100 100 300 500 1k 2k auto send

B/S	Pos Flat	Avg Price	P/L Open	P/L D	
0 by 0	0	N/A	0	0	
Volume	Buy Orders	Bid Size	Price	Ask Size	Sell Orders
			8.40		
			8.39		
			8.38		
			8.37		
			8.36		
			8.35		
			8.34		
			8.33		
			8.32		
			8.31		
			8.30		
			8.29		

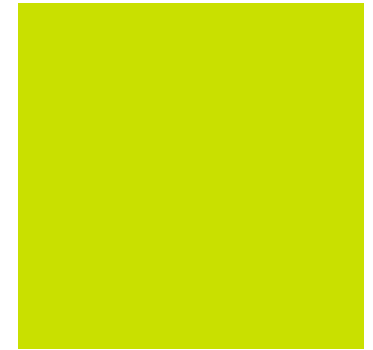


Misconceptions of the TG

- We are NOT a club that advises people tips on what stocks/funds to purchase.
- We are NOT a club that focuses on networking or conferencing.
- We do NOT conflict with any of Finance Club's events. They are career oriented, we are self-oriented.

Our Events

- Various Trading Competitions
- Student Seminars
- Quarterly Professional Seminars
- Pink Sheet Meets (trading floor)
- AGM (Initial Public Offering)
- Quarterly Socials



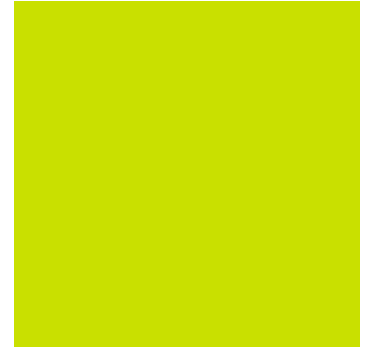
Student Seminars

- Our tutorials will be done by our experienced analysts and presidents using a pre-planned curriculum.
- Think of these sessions similar to academic tutors and students. Not meant to be professional.
- Allows students to easily ask questions and feel less intimidated.
- All analysts, including Presidents, have approximately 1-3+ years of experience in retail financing.
- Open for members to request hosting their own sessions on a topic they may be experienced with.

Tutorial Misconceptions

- The tutorial will not persuade, convince, or encourage anyone to purchase a stock or 'ride' a particular trend that has not occurred yet.
- Consider the following examples:
 - "By using the RSI indicator, we can then predict that Google will rise in price tomorrow. You should then sell your shares on that day.
 - "The RSI Indicator is a way to determine when the market believes a particular stock is oversold or overbought."
- Think of a toolbox. Trading Group provides instructions on how to use a hammer. Not necessarily where to use it.

Quarterly Professional Seminars



- We will be reserving professionals for more advanced seminars.
- Topics will be for those who are also well experienced with the topic of trading or investing. Ex. Statistical Arbitrage.
- Currently have seminar connections with Yaletown Trading Group, RBC Capital Markets, Dundee Wealth Management, Federal Reserve Bank of Boston, Nomura Holdings Ltd. & Proteus Trading Group.

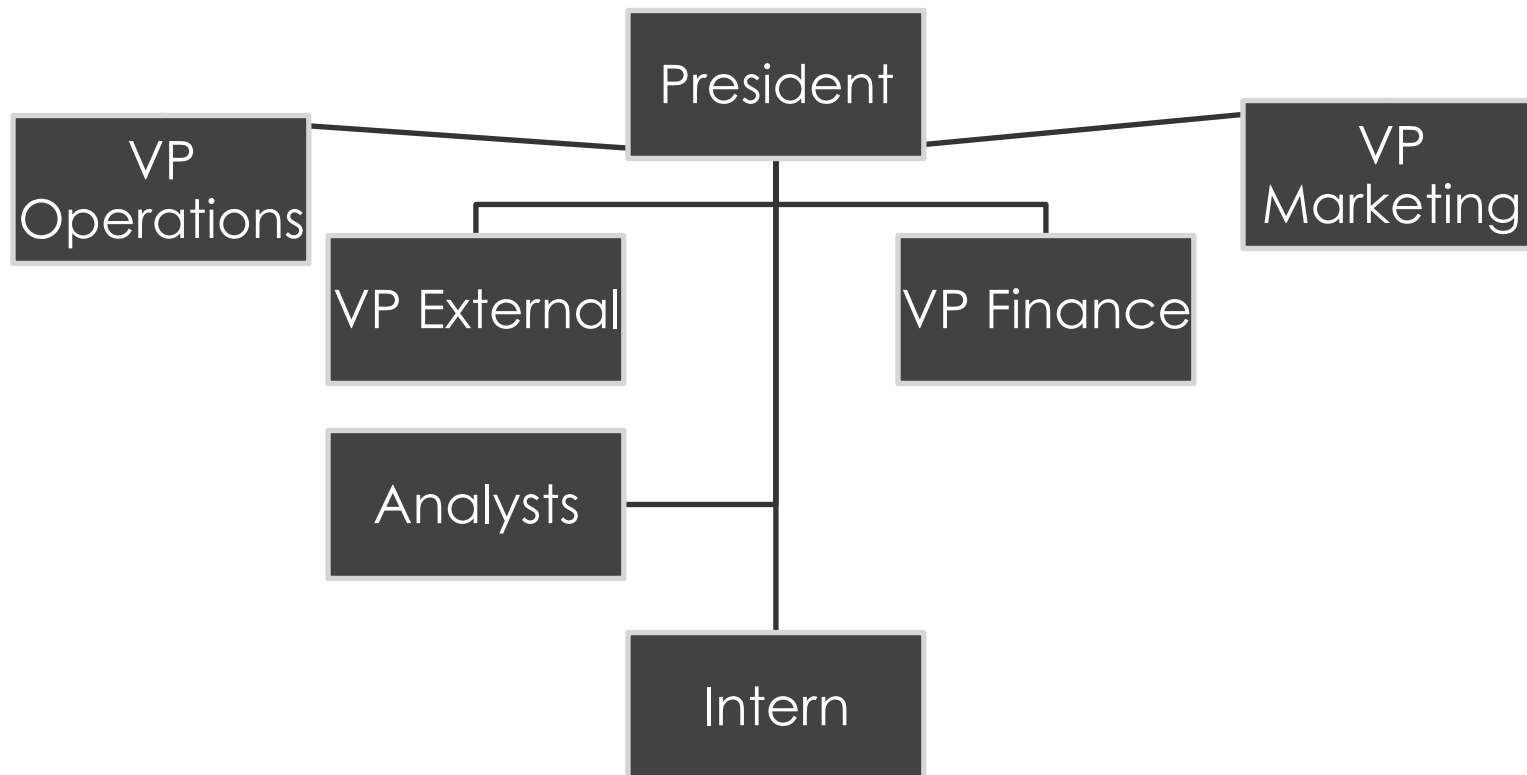
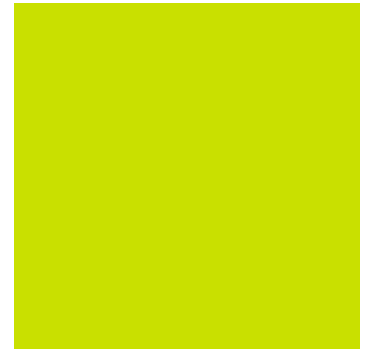
PINK Sheet Meets

- Simulated trading floor held in a room at Sauder for students to come at 7am to analyze or trade the markets.
- The only opportunity for students to know what a trading floor is like. This is also the best way to obtain hands-on experience.
- Breakfast, monitors and friends will be supplied from Trading Group.

Feasibility

- Most of Canada's largest universities have both a Finance Club and Investment club co-existing.
- SFU, Queen's, Western Ivey and McGill
- Notice that most of these clubs are in the East (stronger Financial corporations).
- We must compete against them by also offering an investment club to inspire our students to learn Finance.

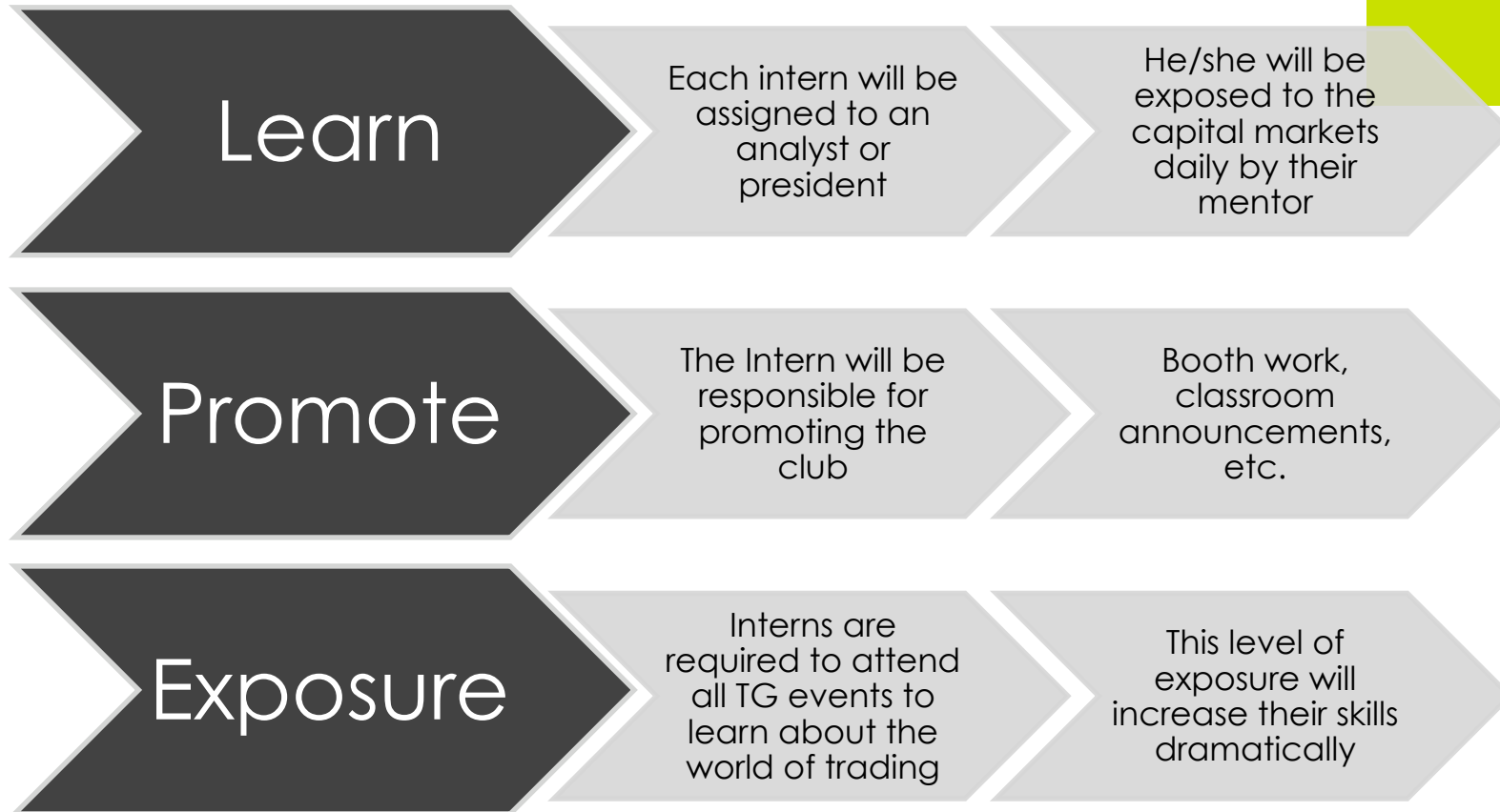
The Club Structure



Role of Analysts



Role of Interns



The Two Focuses

- Interns and analysts exist so that we have the ability to offer an 'elite' position for students to learn trading.
- These members will have the highest priority in terms of education.
- The curriculum is essentially the same; except there are extra perks and an organized learning structure (ex. Quizzes, Finance blogs, etc.)
- The public members are just as important for the success and growth of UBC TG.

Executive Qualifications

Some Executive members currently have worked at

- Dundee Wealth Management
 - RBC Capital Markets China
 - Proteus Trading Group
- Executive Members currently have:
- CSC Certification
 - Technical Analyst Certification
 - Canadian Derivatives License

Risks

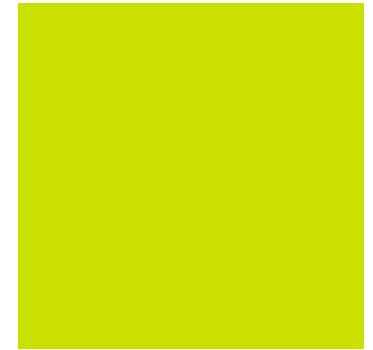
- A UBC Investment Club has existed before; but abandoned 6 years ago due to either:
 - poor management
 - lack of passion
 - lack of experienced successors.

Mitigations

- Extreme passion.
- The goal of UBC Trading Group is to foster the next generation of passionate finance students.
- We currently have support from the SFU Investment Club.

Our Progress

- Established full executive team consisting of 2 presidents, 4 executives, 3 analysts & 6 interns.
- Preparing for construction of a website where members will be able to post articles, tutorials and discuss on the forum.
- Created a full-curriculum for interns and analysts to follow over the summer.
- Finalized budgets and awaiting on completion of website prior to approaching potential sponsors over the summer.



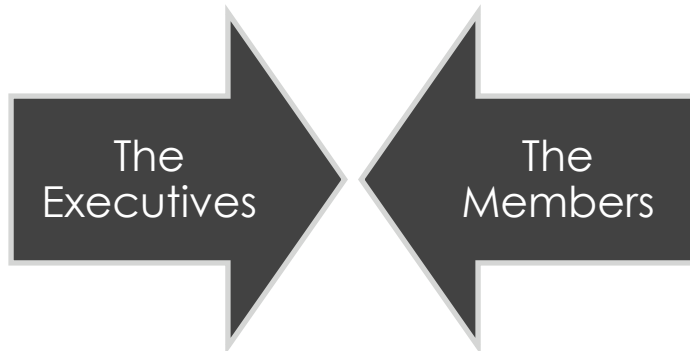
The Website

- The website that we will be creating is the foundation of our club.
- Visit www.seekingalpha.com for an example of our idea. Analysts are expected to post articles on their specialized sector for UBC students to read.
- In addition, this is a chance for members to also contribute ideas by creating their own profile and adding content.
- The forum is designed to allow for discussion from other members unattainable elsewhere.

The Budget

- We are expecting an optimistic surplus of \$1066.00 or a conservative surplus of \$-866.00.
- The bulk of our spending lies in the website and marketing materials.
- Education is priceless, the only costs behind our value proposition is the food for events.
- The forum is designed to allow for discussion from other members unattainable elsewhere.

The UBC TG



- Our goal is to create this relationship.
- There is a lack of connection between the executives and members.
- By progressing with this group, we can facilitate discussion and hands-on learning through a more relaxed and depressurized setting.
- TG does not have the ability to turn students into masters. But rather, spark the motivation for them to turn themselves into masters.